


Substitute for form 1449A/B/PTO			Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)			Application Number	09/859,661	
			Filing Date	May 17, 2001	
			First Named Inventor	Stuart A. Fraser et al.	
			Art Unit	3622	
			Examiner Name	James W. Myhre	
Sheet	1	of	2	Attorney Docket Number	CF-007 Reissue

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
EW		eSpeed, Inc et al. v. Brokertec USA, L.L.C., D. Del., Civil Action No.: 03-612(KAJ), Plaintiffs' Opening Brief in Support of Their Motion for Judgment as a Matter of Law or, in the Alternative, for a New Trial, dated April 4, 2005	
		eSpeed, Inc et al. v. Brokertec USA, L.L.C., D. Del., Civil Action No.: 03-612(KAJ), Defendants' Opening Brief in Support of Motion for Judgment as a Matter of Law on Invalidity and Non-Infringement, dated April 4, 2005	
		eSpeed, Inc et al. v. Brokertec USA, L.L.C., D. Del., Civil Action No.: 03-612(KAJ), Defendants' Proposed Findings of Fact and Conclusions of Law, dated April 4, 2005	
		eSpeed, Inc et al. v. Brokertec USA, L.L.C., D. Del., Civil Action No.: 03-612(KAJ), Plaintiffs' Proposed Findings of Fact and Conclusions of Law, dated May 23, 2005	
		eSpeed, Inc et al. v. Brokertec USA, L.L.C., D. Del., Civil Action No.: 03-612(KAJ), Plaintiffs' Answering Brief in Opposition to Defendants' Motion for Judgment as a Matter of Law, dated May 23, 2005	
		eSpeed, Inc et al. v. Brokertec USA, L.L.C., D. Del., Civil Action No.: 03-612(KAJ), Brief in Opposition to Plaintiffs' Motion for Judgment as a Matter of Law or, in the Alternative, for a New Trial, dated May 23, 2005	
		eSpeed, Inc et al. v. Brokertec USA, L.L.C., D. Del., Civil Action No.: 03-612(KAJ), Plaintiffs' Objections to Defendants' Proposed Findings of Fact and Conclusions of Law, dated May 23, 2005	
		eSpeed, Inc et al. v. Brokertec USA, L.L.C., D. Del., Civil Action No.: 03-612(KAJ), Defendants' Reply in Support of Their Findings of Fact and Conclusions of Law, dated June 27, 2005	
		eSpeed, Inc et al. v. Brokertec USA, L.L.C., D. Del., Civil Action No.: 03-612(KAJ), Defendants' Reply Brief in Support of Motion for Judgment as a Matter of Law on Invalidity and Non-Infringement, dated June 27, 2005	
		eSpeed, Inc et al. v. Brokertec USA, L.L.C., D. Del., Civil Action No.: 03-612(KAJ), Plaintiffs' Reply Brief in Support of Their Motion for Judgment as a Matter of Law or, in the Alternative, for a New Trial, dated June 27, 2005	
		eSpeed, Inc et al. v. Brokertec USA, L.L.C., D. Del., Civil Action No.: 03-612(KAJ), Post-Trial Hearing, dated October 12, 2005	
		eSpeed, Inc et al. v. Brokertec USA, L.L.C., D. Del., Civil Action No.: 03-612(KAJ), Memorandum Order, dated December 5, 2005	
		eSpeed, Inc et al. v. Brokertec USA, L.L.C., D. Del., Civil Action No.: 03-612(KAJ), Post-Trial Findings of Fact and Conclusions of Law, dated February 22, 2006	
		eSpeed, Inc et al. v. Brokertec USA, L.L.C., D. Del., Civil Action No.: 03-612(KAJ), Final Judgment in a Civil Case, dated April 3, 2006	
EW		eSpeed, Inc et al. v. Brokertec USA, L.L.C., D. Del., Civil Action No.: 03-612(KAJ), Plaintiffs' Trial Exhibit Evidence, PX 1, 3, 9, 11, 20, 24, 30, 33, 46, 63, 79, 81, 82, 92, 96, 99, 110, 112, and 752	
Examiner Signature	[Signature]		Date Considered
			8/10/06

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Sheet	2	of	2	Attorney Docket Number	CF-007 Reissue

	eSpeed, Inc et al. v. Brokertec USA, L.L.C., D. Del., Civil Action No.: 03-612(KAJ), Defendants' Trial Exhibit Evidence, DX 3, 9, 10, 12, 15, 16, 23, 23A, 24, 30-33, 36, 37, 41, 42, 46-48, 50, 52, 61-63, 66, 79, 99, 114, 115, 117, 119, 133, 145-147, 159, 182, 203, 235, 280, 288, 289, 293, 298, 303, 387, 398, 401, 441, 484, 488, 548, 551, and 554	
	eSpeed, Inc et al. v. Brokertec USA, L.L.C., D. Del., Civil Action No.: 03-612(KAJ), Trial Transcripts, Trial Volumes 1-9, dated February 7-17, 2005	

ERIC W. STAMBER

SUPERVISORY PATENT EXAMINER

 All References Have Been Considered: TECHNOLOGY CENTER 3600
 Examiner

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PTO/SB/08A (10-01)

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Substitute for form 1449/PTO		Complete if known	
		Application Number	09/859,661
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANTS		Confirmation No.	3933
		Filing Date	May 17, 2001
		First Named Inventor	Stuart A. Fraser et al.
		Art Unit	3622
		Examiner	James W. Myhre
(use as many sheets as necessary)		Attorney Docket Number	CF-007 Reissue
Sheet	1	of	1

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			

Examiner Signature		Date Considered	
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FOREIGN PATENT DOCUMENTS						
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		Country Code ¹ - Number ² - Kind Code ³				

NON PATENT LITERATURE DOCUMENTS			
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		APPENDIX A	
		OTHER DOCUMENTS	
		"Aurora," Chicago Board of Trade, 1990.	
		"APT: A Trading System For The Future", The London International Financial Futures Exchange (LIFFE).	

Examiner Signature	<i>Eric W. Stamba</i>	Date Considered	8/10/06
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